

36284

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112857-287

In Re Application Of: **Makoto Yamada et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/945,476	August 30, 2001	Unknown	29175	36284 2164	3220

Title: **INFORMATION PROCESSING SYSTEM, INFORMATION PROCESSING METHOD, ELECTRONIC MONEY SERVICE PROVIDING SYSTEM, AND RECORDING MEDIUM**



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

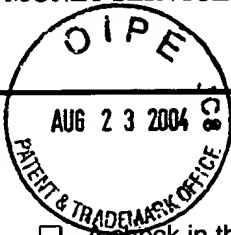
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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In Re Application: **Makoto Yamada et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/945,476	August 30, 2001	Unknown	29175	2164	3220

**INFORMATION PROCESSING SYSTEM, INFORMATION PROCESSING METHOD,  
MONEY SERVICE PROVIDING SYSTEM, AND RECORDING MEDIUM**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **08-19-04** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
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**\*This certificate may only be used if paying by deposit account.**

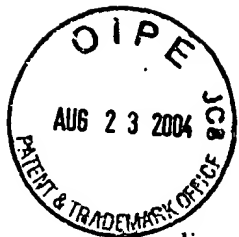


\_\_\_\_\_  
Signature

Dated: **August 19, 2004**

**Thomas C. Basso**  
Reg. No. 46,541  
**BELL, BOYD & LLOYD LLC**  
P.O. Box 1135  
Chicago, IL 60690-1135  
Phone: 312-807-4310

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Makoto Yamada et al.  
Appl. No.: 09/945,476  
Conf. No.: 3220  
Filed: August 30, 2001  
Title: INFORMATION PROCESSING SYSTEM, INFORMATION PROCESSING  
METHOD, ELECTRONIC MONEY SERVICE PROVIDING SYSTEM, AND  
RECORDING MEDIUM  
Art Unit: 2164  
Examiner: Unknown  
Docket No.: 112857-287

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,878,138	03-02-1999	Yacobi

**FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
EP 0 810 563 A2	12-03-1997	Europe
EP 0 926 637 A2	06-03-1999	Europe
WO 99/03079	01-21-1999	PCT

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

Thomas C. Basso

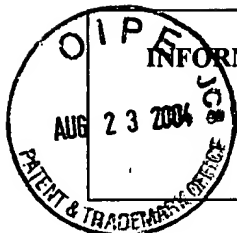
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Phone: (312) 807-4310

Dated: August 19, 2004



**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

(Use several sheets if necessary)

PTO Form 1449

Atty Docket No.

112857-287

Application No.

09/945,476

Applicant

Makoto Yamada et al.

Filing Date

August 30, 2001

Group

2164

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,878,138	03-02-1999	Yacobi			

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Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	EP 0 810 563 A2	12-03-1997	Europe				
	EP 0 926 637 A2	06-03-1999	Europe				
	WO 99/03079	01-21-1999	PCT				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner's Initials	

Examiner:

Date Considered:

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.